

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: James Chen

Application No./Patent No.: 09/905,777 Filed/Issue Date: July 13, 2001

Entitled: NONINVASIVE VASCULAR THERAPY

Light Sciences Oncology, Inc.

(Name of Assignee)

a

corporation

(Type of Assignee, e.g., corporation, partnership,  
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above.  
The assignment was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the  
current assignee as follows:

1. From: James Chen

To: Light Sciences Corporation

The document was recorded in the United States Patent and Trademark Office at  
Reel 012922, Frame 0145, or for which a copy thereof is attached.

2. From: Light Sciences Corporation

To: Light Sciences Acquisition, LLC

The document was recorded in the United States Patent and Trademark Office at  
Reel 019618, Frame 0485, or for which a copy thereof is attached.

3. From: Light Sciences Acquisition, LLC

To: Light Sciences, LLC

The document was recorded in the United States Patent and Trademark Office at  
Reel 019618, Frame 0509, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the  
original owner to the assignee was, or concurrently is being, submitted for recordation  
pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment  
Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP  
302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/William T. Christiansen/

Signature

January 23, 2009

Date

William T. Christiansen, Ph.D.

Typed or printed name

Registered Practitioner of  
Seed IP Law Group PLLC

Title

**CONTINUED STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: James Chen

Application No./Patent No.: 09/905,777 Filed/Issue Date: July 13, 2001

Entitled: NONINVASIVE VASCULAR THERAPY

Light Sciences Oncology, Inc.

(Name of Assignee)

a

corporation

(Type of Assignee, e.g., corporation, partnership,  
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above.  
The assignment was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the  
current assignee as follows:

4. From: Light Sciences, LLC

To: Light Sciences Oncology, Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel 019618, Frame 0520, or for which a copy thereof is attached.

5. From: \_\_\_\_\_

To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

6. From: \_\_\_\_\_

To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the  
original owner to the assignee was, or concurrently is being, submitted for recordation  
pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment  
Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP  
302.08]